

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: T. OTSUBO  
Serial No.: (not yet assigned)  
Filed: August 22, 2003  
For: PLASMA PROCESSING APPARATUS AND PROCESSING  
METHOD

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 AND 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 22, 2003

Sir:

In the matter of the above-identified application, this information disclosure statement is being submitted with the following citation as specified in 37 CFR 1.97(d).

"A copy of any patent, publication or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120."

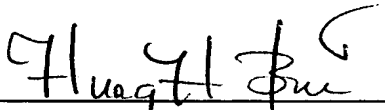
Applicant is submitting herewith a copy of Form PTO-1449 which list documents cited in parent application Serial No. 09/842,000, filed April 26, 2001.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (Case No. 520.39737VX1) and please credit any excess fees to such deposit account.

Respectfully submitted,

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<b>Form PTO-1449</b>	<b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>	<b>ATTY. DKT. NO.</b>	<b>SERIAL NO.</b>
		520.39737VX1	
		<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	
(Use several sheets if necessary)		<b>APPLICANT</b>	
		T. OTSUBO	
		<b>FILING DATE</b>	<b>GROUP</b>
		August 22, 2003	

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
	AA	5,891,349	4-99	Tobe, et al.		
	AB	5,865,937	2-99	Shan, et al.		
	AC	5,815,047	9-98	Sorensen, et al.		
	AD	6,155,202	12-00	Nakano, et al.		
	AE	5,013,400	5-91	Kurasaki, et al.		
	AF	5,698,062	12-97	Sakamoto, et al.		
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	AH	5,362,358	11-94	Yamagata, et al.		
	AI	6,152,071	11-00	Akiyama, et al.		
	AJ	5,888,413	3-99	Okumura, et al.		
	AK	6,202,590	3-01	Kim, et al.		
	AL	6,422,172	7-02	Tanaka, et al.		

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation /Abstract	
						Yes	No
	AM	11-260596	9-99	Japan			
	AN	08167588	6-96	Japan			
	AO	5-206072	8-93	Japan			
	AP	61-283127	12-86	Japan			
	AQ	60-158629	8-85	Japan			
	AR	8-195379	7-96	Japan			
	AS	6-318565	11-94	Japan			
	AT						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AU	Generation of Electron Cyclotron Resonance Plasma in the VHF Band: JJAP vol. 28, no. 10, October 1989, pp. 1860-1862.
	AV	
	AW	
	AX	
	AY	
	AZ	
<b>Examiner</b>		<b>Date Considered</b>